

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

PUTNAM BANK and CITY OF LIVONIA  
EMPLOYEES' RETIREMENT SYSTEM AND  
CITY OF LIVONIA RETIREE HEALTH AND  
DISABILITY BENEFITS PLAN, on behalf of  
themselves and all others similarly situated

Plaintiffs,

v.

INTERCONTINENTAL EXCHANGE, INC.,  
INTERCONTINENTAL EXCHANGE HOLDINGS,  
INC., ICE BENCHMARK ADMINISTRATION  
LIMITED (f/k/a NYSE EURONEXT RATE  
ADMINISTRATION LIMITED), ICE DATA  
SERVICES, INC., ICE PRICING AND  
REFERENCE DATA LLC, BANK OF AMERICA  
CORPORATION, BANK OF AMERICA N.A.,  
MERRILL LYNCH, PIERCE, FENNER & SMITH  
INC., CITIGROUP INC., CITIBANK, N.A.,  
CITIGROUP GLOBAL MARKETS INC.,  
JPMORGAN CHASE & CO., JPMORGAN CHASE  
BANK, N.A., J.P. MORGAN SECURITIES LLC,  
BARCLAYS PLC, BARCLAYS BANK PLC,  
BARCLAYS CAPITAL INC., BNP PARIBAS SA,  
BNP PARIBAS SECURITIES CORP., CRÉDIT  
AGRICOLE S.A., CRÉDIT AGRICOLE  
CORPORATE AND INVESTMENT BANK;  
CRÉDIT AGRICOLE SECURITIES (USA) INC.,  
CREDIT SUISSE GROUP AG, CREDIT SUISSE  
AG, CREDIT SUISSE SECURITIES (USA) LLC,  
DEUTSCHE BANK AG, DEUTSCHE BANK  
SECURITIES INC., HSBC HOLDINGS PLC, HSBC  
BANK PLC, HSBC BANK USA, N.A., HSBC  
SECURITIES (USA) INC., LLOYDS BANK PLC,  
LLOYDS SECURITIES INC., MUFG BANK, LTD.,  
THE BANK OF TOKYO-MITSUBISHI UFJ LTD.,  
MITSUBISHI UFJ FINANCIAL GROUP INC.,  
MUFG SECURITIES AMERICAS INC., THE  
NORINCHUKIN BANK, COOPERATIEVE  
RABOBANK U.A., ROYAL BANK OF CANADA,  
RBC CAPITAL MARKETS, LLC, ROYAL BANK  
OF SCOTLAND GROUP PLC, ROYAL BANK OF

Docket No.: 1:19-cv-00439 (GBD)

SCOTLAND PLC, NATIONAL WESTMINSTER BANK PLC, NATWEST MARKETS SECURITIES INC. (f/k/a RBS SECURITIES, INC.), SOCIÉTÉ GÉNÉRALE S.A., SG AMERICAS SECURITIES, LLC, SUMITOMO MITSUI BANKING CORPORATION, SUMITOMO MITSUI FINANCIAL GROUP INC., SUMITOMO MITSUI BANKING CORPORATION EUROPE LTD., SMBC CAPITAL MARKETS, INC., UBS GROUP AG, UBS AG, and UBS SECURITIES LLC,

Defendants.

**RULE 7.1 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned counsel of record for Defendant The Norinchukin Bank certify that The Norinchukin Bank has no parent corporation, and no publicly held company owns 10 percent or more of The Norinchukin Bank's stock.

Respectfully submitted,

Dated: New York, New York  
April 24, 2019

SIDLEY AUSTIN LLP

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